

Case Docket No. IVQ-001

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I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Signature

Nelson F. Barros

Please Print Name of Person Signing

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Saul Shiffman, Michael R. Hufford, Jean A. Paty**For: **APPARATUS AND METHOD FOR PREDICTION AND MANAGEMENT OF SUBJECT COMPLIANCE IN CLINICAL RESEARCH**

Enclosed are:

- ☐ This is a request for filing a ☐ continuation ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. _____ filed on _____ entitled _____.
- ☒ 15 pages of specification, 7 pages of claims, 1 page of abstract.
- ☒ 2 sheets of drawings (Figures 1 and 2).
- ☒ An *unexecuted* Declaration, Petition and Power of Attorney.
- ☐ An assignment of the invention to _____. A recordation form cover sheet (Form PTO 1595) is also enclosed.
- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Other _____

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	//////////		//////////	\$ 355	OR	//////////	\$
TOTAL CLAIMS	37 - 20	= 17	x 9=	\$ 153	OR	x 18=	\$
INDEP. CLAIMS	10 - 3	= 7	x 40	\$ 280	OR	x 80	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+135	\$	OR	+270	\$
			TOTAL	\$788.00	OR	TOTAL	0

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- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
- ☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.
- ☐ A check in the amount of \$ _____ to cover the recording of assignment documents is also enclosed.
- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to Kevin J. Canning, Esq. at **Customer Number: 000959** whose address is:

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Date: April 2, 2001

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